

|                                |  |                   |
|--------------------------------|--|-------------------|
| Customer No.                   | 02   |                   |
| Attorney's Docket No.:         | GK-GEY-1110 / 500353.20133                   |                   |
| U.S. Application No.:          | 09/831985                                    |                   |
| International Application No.: | PCT/EP99/08959                               |                   |
| International Filing Date:     | SEPTEMBER 14, 2000                           | 14 SEPTEMBER 2000 |
| Priority Date Claimed:         | SEPTEMBER 17 1999                            | 17 SEPTEMBER 1999 |
| Title of Invention:            | DEVICE FOR THE ATOMIZATION OF LIQUID SAMPLES |                   |
| Applicant(s) for (DO/EO/US):   | Harald BERNDT and Attila GÁSPÁR              |                   |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- [X] 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.  
[ ] 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.  
[ ] 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and  
[ ] 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.  
[X] 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]  
a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)  
b) ☐ has been transmitted by the international Bureau  
c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)  
[X] 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]  
7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]  
a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)  
b) ☐ have been transmitted by the International Bureau  
c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.  
d) ☐ have not been made and will not be made  
8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]  
[X] 9. An **UNSIGNED** Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)] Executed Decl/POA TO FOLLOW  
[ ] 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

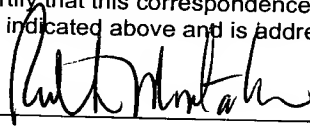
Items 11. to 16. Below concern other document(s) or information included:

- [ ] 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98  
[X] 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.  
[X] 13. ☒ A **FIRST** preliminary amendment  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment  
[X] 14. A **substitute specification and Abstract (attached to the preliminary amendment)**  
[ ] 15. A change of power of attorney and/or address letter  
[X] 16. (other items or information) **PCT/RO/101, PCT/IB/301 dated 26OCT00, PCT/IB/304 dated 12DEC00, Search Reports: PCT/ISA/210 15FB01 and German Examination Report 7FEB00 and PTO-1449 w/14 references (including 3 English Abstracts)**

EXPRESS MAIL No.: **EL 645 878 903 US**

Deposited: **May 16, 2001**

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.



/Ruth Montalvo Date: **May 16, 2001**

09/831985

Page 2

U.S. Application No. (if known, see 37 C.F.R. 1.50):

International Application No.: PCT/EP/08959

Attorney's Docket No.:

GK-GEY-1110 / 500350.20133

CALCULATIONS

PTO USE ONLY

☒ 17. The following fees are submitted:

BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]

JC18 Rec'd PCT/PTO 1 6 MAY 2001

|                                     |  |    |          |
|-------------------------------------|--|----|----------|
| <input checked="" type="checkbox"/> | Search Report has been prepared by the EPO or JPO.....   | \$ | 860.00   |
| <input type="checkbox"/>            | International preliminary examination fee paid to USPTO [37 CFR 1.482].....  | \$ | 690.00   |
| <input type="checkbox"/>            | No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... | \$ | 710.00   |
| <input type="checkbox"/>            | Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO.....          | \$ | 1,000.00 |
| <input type="checkbox"/>            | International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4).....       | \$ | 100.00   |

ENTER APPROPRIATE BASIC FEE AMOUNT:

\$860.00

| Claims   | Number Filed |     | Number Extra | Rate        |
|--|--------------|-----|--------------|-------------|
| Total Claims Prel. Amdt)   | 16           | -20 |              | x \$ 18. =  |
| Indep. Claims  | 1            | -03 |              | x \$ 80. =  |
| <input type="checkbox"/> Multiple Dependent Claim(s) (if applicable) |              |     |              | + \$ 270. = |

TOTAL OF ABOVE CALCULATIONS:

\$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date [37 CFR 1.492(e)]

TOTAL OF ABOVE CALCULATIONS:

\$860.00

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity

SUBTOTAL:

\$860.00

Processing fee of \$130.00 for furnishing the English Translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date [37 CFR 1.492(f)]

TOTAL NATIONAL FEE:

\$860.00

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31], \$ 40.00 per property +

TOTAL FEE(S):

\$860.00

AMOUNTS TO BE  
REFUNDED OR CHARGEDREFUNDED  
CHARGED\$  
\$

(Please note the filing fee is based on the claims in the Preliminary Amendment)

☒ Check in the amount of \$ 860.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)**NOTE:** Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gerald h. Kiel, Esq.

(Customer No. 026418)

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Gerald H. Kiel

Name (Tel. (212) 521-5400)

Signature

25,116  
Reg. No.May 16, 2001  
Date

patent application serial no.  
**09/831985**  
Department of Commerce  
Patent and Trademark Office  
fee record

05/18/2001 UEDUVIJE 00000139 09831985

01 FC:970

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